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Image# 201512189004301466

FEC FORM 3Y

REPORT OF RECEIPTS AND DISBURSEMENTS

FORIVI SX Fo	or Other Than An Auth	orized Committe	е		Office Use Only
1. NAME OF TOOMMITTEE (in full)	YPE OR PRINT ▼	Example: If typin over the lines.	g, type	12FE4M5	
Securities Industry and	Financial Markets As	sociation Politi	cal Actior	Committ	ee
ADDRESS (number and street)	1101 New York Avenue, NW				
Check if different	8th Floor				
than previously reported. (ACC)	Washington			DC	20005
2. FEC IDENTIFICATION NUI	MBER ▼ CITY	^	S	STATE 🛦	ZIP CODE ▲
C C00431312	3. IS	THIS X N	EW N) OR	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	Report Due On:		lay 20 (M5)	-	20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Mar 2	20 (M3) J	un 20 (M6)	Sep	20 (M9) X Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1)		ul 20 (M7)	. —	20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q2	PRE-Election	Primary (12P)		General (
October 15 Quarterly Report (Q3	Report for the:	Convention (1	20)	Special (125)
January 31 Year-End Report (YE	Election	on /	D D /	Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (3	0R) Special (30S)
Termination Report (TER)	Election	on M M /	D = D /	Y = Y = Y	in the State of
5. Covering Period 11	01 2015	through	M M	/ D D /	2015
I certify that I have examined this	Report and to the best of r	my knowledge and b	elief it is true	e, correct and	complete.
Type or Print Name of Treasurer	Mr. Kenneth E. Bentsen Jr.				
Signature of Treasurer Mr. Ke	nneth E. Bentsen Jr.	[Electronically	Filed] Da	ate 12	/ DDD / YDY Y 18 2015
NOTE: Submission of false, erroned	ous, or incomplete information	may subject the pers	on signing th	is Report to th	ne penalties of 2 U.S.C. §437g.
Office Use Only					FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

2015 30 2015 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 147691.99 January 1, 2015 (b) Cash on Hand at 99828.51 Beginning of Reporting Period..... 351407.97 25854.56 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 125683.07 499099.96 6(a) and 6(c) for Column B)..... 44898.78 418315.67 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 80784.29 80784.29 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

I. Receipts	I. Receipts COLUMN A Total This Period			
1. Contributions (other than loans) From:				
(a) Individuals/Persons Other				
Than Political Committees		201105.07		
(i) Itemized (use Schedule A)	15455.78	261195.07		
(ii) Unitemized	0.00	18975.76		
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	15455.78	280170.83		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees (such as PACs)	5000.00	62000.00		
(d) Total Contributions (add Lines				
11(a)(iii), (b), and (c)) (Carry				
Totals to Line 33, page 5)▶	20455.78	342170.83		
2. Transfers From Affiliated/Other				
Party Committees	0.00	0.00		
3. All Loans Received	0.00	0.00		
4. Loan Repayments Received	0.00	0.00		
5. Offsets To Operating Expenditures				
(Refunds, Rebates, etc.)	200.70			
(Carry Totals to Line 37, page 5)	398.78	3862.14		
6. Refunds of Contributions Made				
to Federal Candidates and Other		5075.00		
Political Committees	5000.00	5375.00		
7. Other Federal Receipts				
(Dividends, Interest, etc.)	0.00	0.00		
3. Transfers from Non-Federal and Levin Funds				
(a) Non-Federal Account	0.00	2.22		
(from Schedule H3)	0.00	0.00		
(h) Levin Funds (from Schodula HE)	0.00	0.00		
(b) Levin Funds (from Schedule H5)		0.00		
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00		
9. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	0.00 25854.56	351407.		
0. Total Federal Receipts (subtract Line 18(c) from Line 19)▶	25854.56	351407.9		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	Disbursements COLUMN A Total This Period			
. Operating Expenditures: — (a) Allocated Federal/Non-Federal	Total Tillo I office	Calendar Year-to-Date		
Activity (from Schedule H4)				
(i) Federal Share	0.00	0.00		
(ii) New Federal Obers	0.00	0.00		
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00		
Expenditures	398.78	4065.67		
(c) Total Operating Expenditures				
(add 21(a)(i), (a)(ii), and (b)) ▶	398.78	4065.67		
2. Transfers to Affiliated/Other Party	0.00	0.00		
Committees	0.00	0.00		
Federal Candidates/Committees and Other Political Committees	44500.00	414250.00		
Independent Expenditures	0.00	0.00		
(use Schedule E) Coordinated Party Expenditures	3.00	7		
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
, , , , , , , , , , , , , , , , , , , ,				
Loan Repayments Made	0.00	0.00		
Loans Made	0.00	0.00		
Refunds of Contributions To:				
(a) Individuals/Persons Other Than Political Committees	0.00	0.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	0.00	0.00		
(such as PACs)	0.00	7 7		
(d) Total Contribution Refunds				
(add Lines 28(a), (b), and (c))▶	0.00	0.00		
Other Disbursements	0.00	0.00		
Federal Election Activity (2 U.S.C. §431(20))				
(a) Allocated Federal Election Activity				
(from Schedule H6)				
(i) Federal Share	0.00	0.00		
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely				
With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add				
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
Total Disbursements (add Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	44898.78	418315.67		
Total Fodoval Diaburaomenta				
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	44898.78	418315.67		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	20455.78	342170.83
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	20455.78	342170.83
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	398.78	4065.67
7. Offsets to Operating Expenditures (from Line 15, page 3)	398.78	3862.14
3. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	203.53

: 97 A = G7 9 @ G B9 C I G H9 L H F 9 @ 5 H9 8 HC 5 F 9 D C F H Ž G7 < 98 I @ C F → H9 A = N 5 H = C B

Form/Schedule: F3XN Transaction ID:

DDC recently took over the data base for SIFMA PAC. After consultation with the PAC's reports analyst, Thomas Tierney year to date aggregate has been increased by 173.14 as a result of merging two records for Mr. Tierney in the DDC data base.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave NW Ste 800 2015 City Zip Code State Transaction ID: DFB5445515DD4F718812 DC 20005-4279 Washington Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark President Receipt For: Aggregate Year-to-Date ▼ Primary General 4616.40 Other (specify) Full Name (Last, First, Middle Initial) B. Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave NW Ste 800 11 25 2015 City State Zip Code Transaction ID: 71D4F652484740B3A0C0 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark President Receipt For: Aggregate Year-to-Date ▼ Primary General 4616.40 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa Bleier Date of Receipt Mailing Address 1101 New York Ave NW 11 2015 Ste 800 City State Zip Code Transaction ID: 4F07CFE0AB3E444A9869 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 19.23 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 461.52 Other (specify) 403.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the Detailed Summary Page

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(check only one)							
X 11a	11b	11c	12				
13	14	15	16	17			

or for commercial purposes, other than using	the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Securities Industry and Finan	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) 1. Lisa Bleier		Date of Receipt
Mailing Address 1101 New York Ave NW Ste 800		1.1 25 2015
City	State Zip Code	Transaction ID: 6E30DE63A1784B95B4B2
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	461.52	
Full Name (Last, First, Middle Initial) Ananias Blocker	'	Date of Receipt
Mailing Address 1101 New York Ave NW		M = M / D = D / Y = Y = Y
Ste 800	State 7in Code	11 11 2015
City	State Zip Code DC 20005-4279	Transaction ID : 607B0460630440679F10
Washington	20005-4219	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Executive Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	4200.00	
Full Name (Last, First, Middle Initial) 2. Ananias Blocker		Date of Receipt
Mailing Address 1101 New York Ave NW Ste 800		11 25 2015
City	State Zip Code	Transaction ID: 09E1E5DA5D5E4F9EAD8E
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Executive Vice President	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	4000.00	
Other (specify) ▼	4200.00	
SUBTOTAL of Receipts This Page (optional)	····	619.23
TOTAL This Period (last page this line numb	per only)	

FOR LINE NUMBER: PAGE 9 OF 37

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for each category of the Detailed Summary Page		X 11a 11b 11c						12			
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	Statements may not be sold or used by any personal he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Kyle Brandon Mailing Address 1101 New York Ave NW		Date of Receipt
Ste 800		11 11 2015
City	State Zip Code	Transaction ID : FFADB030005B41B792C4
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2307.60	
Full Name (Last, First, Middle Initial) 3. Kyle Brandon		Date of Receipt
Mailing Address 1101 New York Ave NW		M M / D D / Y Y Y Y Y
Ste 800 City	State Zip Code	11 25 2015
Washington	DC 20005-4279	Transaction ID: 9AB86DF2FC52456BBC96 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	96.15
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	2307.60	
Full Name (Last, First, Middle Initial) C. Kenneth Cella		Date of Receipt
Mailing Address 8 Bridle Lane		11 20 2015
City Frontenac	State Zip Code MO 63131-3309	Transaction ID : 22806212 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer	Occupation	
Edward Jones	Principal, Brand Development	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General	. aggregate real to Date ¥	
Other (specify) ▼	1000.00	
SUBTOTAL of Receipts This Page (optional).	•	1192.30
TOTAL This Period (last page this line number	er only)	

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	and Statements may not be sold or used by any persong the name and address of any political committee t	
NAME OF COMMITTEE (In Full)		
Securities Industry and Final	uncial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) 1. Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
FI 35	Chaha 7:- Cada	11 11 2015
City New York	State Zip Code NY 10271-3599	Transaction ID: 54C3009FC3B5456CB222 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	924.00	
Other (Specify)	02.100	
Full Name (Last, First, Middle Initial) 3. Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway		M M / D D / Y Y Y Y
FI 35		11 25 2015
City	State Zip Code	Transaction ID: 0156CD878FE3472BAA9B
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	924.00	
Full Name (Last, First, Middle Initial) Salvatore Chiarelli	I	Date of Receipt
Mailing Address 120 Broadway		M M / D D / Y Y Y Y
FI 35		11 11 2015
City	State Zip Code	Transaction ID : 1C0A19CF78D546B9BF74
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	9.65
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	231.60	
Other (specify) ▼	251.00	
SUBTOTAL of Receipts This Page (option	al)	86.65
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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) Salvatore Chiarelli Mailing Address 120 Broadway		Date of Receipt
Malling Address 120 Broadway FI 35		11 25 _ 2015 _
City	State Zip Code	Transaction ID : DB0D125F6AB04941ABA4
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	9.65
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	231.60	
Full Name (Last, First, Middle Initial) Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave NW Ste 800		11 11 2015
City Washington	State Zip Code DC 20005-4279	Transaction ID: 04AF123566884D67BA4B Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer Securities Industry and Financial Mark	Occupation Executive Vice President, Communicatio	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2307.60	
Full Name (Last, First, Middle Initial) Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave NW Ste 800		11 25 2015
City Washington	State Zip Code DC 20005-4279	Transaction ID: 2F32FA1F34524B0AB158 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2307.60	
SUBTOTAL of Receipts This Page (optional)		201.95
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial) A. Bradley Gaskins Edgell Mailing Address 1101 New York Ave NW Ste 800 City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial)	State Zip Code DC 20005-4279 C Occupation Managing Director Aggregate Year-to-Date ▼ 924.00	Date of Receipt 11 11 2015 Transaction ID: 1EE0DF8EF2234420898D Amount of Each Receipt this Period 38.50
Mailing Address 1101 New York Ave NW Ste 800 City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	State Zip Code DC 20005-4279 C Occupation Managing Director Aggregate Year-to-Date 924.00	Date of Receipt 11 25 2015 Transaction ID: 124EB969F2424CDDAF7F Amount of Each Receipt this Period 38.50
Full Name (Last, First, Middle Initial) Tracy Eichler Mailing Address 120 Broadway FI 35 City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Director Aggregate Year-to-Date ▼ 461.52	Date of Receipt 11 11 2015 Transaction ID: 6F93974ABC154668BD57 Amount of Each Receipt this Period 19.23
SUBTOTAL of Receipts This Page (optional)	<u> </u>	96.23
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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) Tracy Eichler Mailing Address 120 Broadway FI 35 City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Director Aggregate Year-to-Date ▼ 461.52	Date of Receipt 11 25 2015 Transaction ID: 2B744F6C153E4513942C Amount of Each Receipt this Period 19.23
Full Name (Last, First, Middle Initial) Jennifer Flitton Mailing Address 1101 New York Ave NW Ste 800 City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4279 C Occupation Managing Director, Federal Government Aggregate Year-to-Date ▼ 1000.00	Date of Receipt 11 06 2015 Transaction ID: 22789737 Amount of Each Receipt this Period 1000.00
Full Name (Last, First, Middle Initial) Lisa Fues Mailing Address 1101 New York Ave NW Ste 800 City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4279 C Occupation Vice President Aggregate Year-to-Date ▼ 461.52	Date of Receipt M
SUBTOTAL of Receipts This Page (optional).	•	1038.46
TOTAL This Period (last page this line number	er only)	

Name of Employer

Receipt For:

Securities Industry and Financial Mark

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

	FOF	LINE	NU	MBER	PAGE	. '	14 OF	:	37	
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Lisa Fues Date of Receipt Mailing Address 1101 New York Ave NW Ste 800 25 2015 City State Zip Code Transaction ID: E308DC58675A4F63B37A DC 20005-4279 Washington Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Name of Employer Occupation Vice President Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 461.52 Other (specify) Full Name (Last, First, Middle Initial) B. Marin E. Gibson Date of Receipt Mailing Address 120 Broadway FI 35 2015 11 City State Zip Code Transaction ID: CDB992A3D71B48419152 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 76.92 federal political committee.

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

Aggregate Year-to-Date ▼ Primary General 1846.08 Other (specify) Full Name (Last, First, Middle Initial) c. Marin E. Gibson Date of Receipt Mailing Address 120 Broadway 25 2015 FI 35 City Zip Code State Transaction ID: 6FC72D54C9EA4B68AEEC NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 76.92 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1846.08 Other (specify)

Occupation

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Managing Director & Counsel

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	FOR LINE	NUMBER	: PAC	3E 15	OF
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for each category of the Detailed Summary Page	X 11a	11b	11c	1	2
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Any information copied from such Reports and	Statements may not be sold or used by any pers	on for the purpose of soliciting contributions
	ne name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) Ira Hammerman Mailing Address 1101 New York Ave NW Ste 800 City Machinetee	State Zip Code DC 20005-4279	Date of Receipt 11 13 2015 Transaction ID: 22798423
Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	DC 20005-4279 C Occupation Sr. Mgn. Director and General Counsel Aggregate Year-to-Date ▼ 1000.00	Amount of Each Receipt this Period 1000.00
Full Name (Last, First, Middle Initial) Christopher Killian Mailing Address 120 Broadway FI 35 City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director Aggregate Year-to-Date ▼ 346.50	Date of Receipt M
Full Name (Last, First, Middle Initial) Christopher Killian Mailing Address 120 Broadway Fl 35 City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director Aggregate Year-to-Date ▼ 346.50	Date of Receipt 11 25 2015 Transaction ID: BBBFE269D721412BA850 Amount of Each Receipt this Period 38.50
SUBTOTAL of Receipts This Page (optional)		1077.00
TOTAL This Period (last page this line number	or only)	

	FOR	LINE	NU	MBER	:	PAGE	•	16 OF	:	37
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	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full)	cial Markets Association Political A	
Full Name (Last, First, Middle Initial) A. David Krasner		Date of Receipt
Mailing Address 120 Broadway FI 35		11 11 2015
City	State Zip Code	Transaction ID : D8A3B0609ECA48E4A720
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.00
Name of Employer	Occupation	†
Securities Industry and Financial Mark	Chief Financial Officer	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2765.10	
Full Name (Last, First, Middle Initial) 3. David Krasner		Date of Receipt
Mailing Address 120 Broadway FI 35		11 25 2015
City New York	State Zip Code NY 10271-3599	Transaction ID : 7CABBA81CFBE46DB86E Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.00
Name of Employer Securities Industry and Financial Mark	Occupation Chief Financial Officer	-
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2765.10	
Full Name (Last, First, Middle Initial) Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway FI 35		11 11 2015
City	State Zip Code	Transaction ID : C96AF60A74294AE9BCA
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	+
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	924.00	
SUBTOTAL of Receipts This Page (optional)	>	268.50
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Any information copied from such Reports and	d Statements may not be sold or used by any per	son for the purpose of soliciting contributions
	the name and address of any political committee	
NAME OF COMMITTEE (In Full)	cial Markets Association Political A	ction Committee
/ Securities moustry and rinan	cial Markets Association Political A	CHOIT COMMINICEE
Full Name (Last, First, Middle Initial) A. Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
FI 35		11 25 2015
City	State Zip Code	Transaction ID: 25C423382EAE4DC6B7E2
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	924.00	
Full Name (Last, First, Middle Initial) Theodore Lazo		Date of Receipt
Mailing Address 1101 New York Ave NW		M = M / D = D / Y = Y = Y
Ste 800	State Zin Code	11 11 2015
City	State Zip Code DC 20005-4279	Transaction ID : CB7D7625E27840ECA009
Washington	20005-4219	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	924.00	
Caron (opening)		
Full Name (Last, First, Middle Initial) C. Theodore Lazo	<u>'</u>	Date of Receipt
Mailing Address 1101 New York Ave NW		Mam / Dab / Yayayay
Ste 800		11 25 2015
City	State Zip Code	Transaction ID : E4C36C9909EC4AB496B8
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	924.00	
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	d Statements may not be sold or used by any per- the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Laura A. Martin Mailing Address 120 Broadway Fl 35 City	State Zip Code	Date of Receipt 11 11 2015 Transaction ID : 6F8D1A73177B4A08AA4C
New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	NY 10271-3599 C Occupation Managing Director Aggregate Year-to-Date ▼ 346.50	Amount of Each Receipt this Period 38.50
Full Name (Last, First, Middle Initial) Laura A. Martin Mailing Address 120 Broadway FI 35 City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director Aggregate Year-to-Date ▼ 346.50	Date of Receipt 11 25 2015 Transaction ID: C4CD933DB3BB4F23A8D0 Amount of Each Receipt this Period 38.50
Full Name (Last, First, Middle Initial) Lauren Murray Mailing Address 360 Madison Ave FI 18 City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10017-7122 C Occupation Associate; Private Client Group Aggregate Year-to-Date ▼ 230.00	Date of Receipt 11 11 2015 Transaction ID: 3DBB640700CE49F5BCCA Amount of Each Receipt this Period 10.00
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	87.00
TOTAL This Period (last page this line numb	er only)	

FOR LINE NUMBER: PAGE 19 OF 37 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Lauren Murray Date of Receipt Mailing Address 360 Madison Ave FI 18 2015 City State Zip Code Transaction ID: DF888D975E6C426FA4B8 NY New York 10017-7122 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation Associate: Private Client Group Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 230.00 Other (specify) Full Name (Last, First, Middle Initial) B. Karen B. Peetz Date of Receipt Mailing Address 105 Brighton Avenue FI 10 11 09 2015 City State Zip Code Transaction ID: 22791592 NJ Spring Lake 07762-1510 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 federal political committee. Name of Employer Occupation **BNY Mellon** Vice Chairman and CEO Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas F Price Date of Receipt Mailing Address 1101 New York Ave NW 11 2015 Ste 800 City Zip Code State Transaction ID: 6B9ED8EBE07B4E79B412 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 27.50 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) 5037.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... -9

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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full)	ial Markets Association Political Ac	
Full Name (Last, First, Middle Initial) Darrah Rafferty Mailing Address 360 Medican Ave		Date of Receipt
Mailing Address 360 Madison Ave FI 18		11 11 2015
City New York	State Zip Code NY 10017-7122	Transaction ID : 246E2A2278D6418EA1EB Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	10.00
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Managing Director, Human Resources Aggregate Year-to-Date ▼ 230.00	
Full Name (Last, First, Middle Initial) 3. Darrah Rafferty	1	Date of Receipt
Mailing Address 360 Madison Ave FI 18 City New York FEC ID number of contributing federal political committee.	State Zip Code NY 10017-7122	11 25 2015 Transaction ID: 89BCBAFCFAC3473EA13A Amount of Each Receipt this Period 10.00
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Managing Director, Human Resources Aggregate Year-to-Date ▼ 230.00	
Full Name (Last, First, Middle Initial) Thomas P. Tierney Mailing Address 120 Broadway Fl 35 City New York	State Zip Code NY 10271-3599	Date of Receipt 11 11 2015 Transaction ID: 56B201AB1E5F432CBEA0 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Managing Director Aggregate Year-to-Date ▼ 442.36	
SUBTOTAL of Receipts This Page (optional)		39.23
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 21 OF 37 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Thomas P. Tierney Date of Receipt Mailing Address 120 Broadway FI 35 2015 City Zip Code State Transaction ID: 1ECD88A0C5754A20B3D3 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing C 19.23 federal political committee. Please see memo text to explain aggregation increase Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 442.36 Other (specify) Full Name (Last, First, Middle Initial) B. James Weddle Date of Receipt Mailing Address 12555 Manchester Rd 11 25 2015 City State Zip Code Transaction ID: 28C1D12AB9144DCAAFCD MO Saint Louis 63131-3710 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 federal political committee. Name of Employer Occupation **Edward Jones** Managing Partner Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 5019.23 SUBTOTAL of Receipts This Page (optional)..... 15455.78 TOTAL This Period (last page this line number only).....

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Ai	ny information copied from such Reports and Si for commercial purposes, other than using the	tatements mand a	ay not be sold or used by any paddress of any political committee	erson for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Market	s Association Political	Action Committee
Α.	Full Name (Last, First, Middle Initial) Branch Bank & Trust Political Action C	Committee		Date of Receipt
	Mailing Address 150 South Stratford Road Suite 401			1.1 25 2015
	City	State	Zip Code	Transaction ID : 561438C55085443AAF2E
	Winston Salem	NC	27104	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C co	0075291	5000.00
	Name of Employer	Occupation	1	
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General Other (specify) ▼		5000.00	
В.	Full Name (Last, First, Middle Initial)			Date of Receipt
	Mailing Address			M = M / D = D / Y = Y = Y
	City	State	Zip Code	Amount of Each Receipt this Period
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	Name of Employer	Occupation	1	
	Receipt For: Primary General	Aggregate	Year-to-Date ▼	_
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C.	Full Name (Last, First, Middle Initial)			Date of Receipt
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	City	State	Zip Code	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		
	Name of Employer	Occupation	1	
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	Primary General Other (specify) ▼		7 1 7 1 8	
5	SUBTOTAL of Receipts This Page (optional)			5000.00

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Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Securities Industry and Finance	the name and a	address of any political committee	e to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) A. SIFMA Mailing Address 1101 New York Avenue, NV 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General Other (specify)	State DC C	Zip Code 20005 Year-to-Date ▼	Date of Receipt 11 24 2015 Transaction ID: F9B506621F3F4DF7A352 Amount of Each Receipt this Period 398.78 Reimbursement of Bank Fees
Full Name (Last, First, Middle Initial) Mailing Address City FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General Other (specify) Other (specify)	State C Occupation Aggregate	Zip Code Year-to-Date ▼	Date of Receipt M M M / D D / Y Y Y Y Y Y Amount of Each Receipt this Period
Full Name (Last, First, Middle Initial) C. Mailing Address City FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General Other (specify)	State C Occupation Aggregate	Zip Code Year-to-Date ▼	Date of Receipt M M / D D / Y Y Y Y Y Y Amount of Each Receipt this Period
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	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 24 OF 37 (check only one)
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Ar	ny information copied from such Reports and St for commercial purposes, other than using the	atements mand a	ay not be sold or used by any puddress of any political committee	erson for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full)			
	Securities Industry and Financia	l Market	s Association Political	Action Committee
Α.	Full Name (Last, First, Middle Initial) Friends of John Boehner			Date of Receipt
	Mailing Address 7908 Cincinnati Dayton Road Suite I			11 25 2015
	City	State	Zip Code	Transaction ID : D380D398CED7A3068C1
	West Chester	OH	45069-6628	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C co	0237198	5000.00
	Name of Employer	Occupation	1	Refund of 1-12-2015 Contribution, 2016 General
	Receipt For: 2016 Primary X General	Aggregate	Year-to-Date ▼	
	Other (specify) ▼		5000.00	
— В.	Full Name (Last, First, Middle Initial)			Date of Receipt
	Mailing Address			M M / D D / Y Y Y Y
	City	State	Zip Code	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		
	Name of Employer	Occupation		
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General	riggrogato	Tour to Bate V	1
	Other (specify) ▼		, , , , , , , , , , , , , , , , , , , ,	
<u>с</u> .	Full Name (Last, First, Middle Initial)			Date of Receipt
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	City	State	Zip Code	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		
	Name of Employer	Occupation		
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	Primary General	Aggregate	rear-lu-Dale ▼	
	Other (specify) ▼			
5	SUBTOTAL of Receipts This Page (optional)			5000.00

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			Summary Page	<u> </u> ×		22		23 20h	Ц	24	25		26
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	NAME OF COMMITTEE (In Full)												
$ \rangle$	Securities Industry and Financial M	larkets A	Association	Politica	al Ac	ction Co	omm	itte	е				
_	Full Name (Last, First, Middle Initial)												
Α.	PNC Bank					Date o	_		_				
	Mailing Address 1400 K Street, NW					11		02	_	/ Y	2015	Y	
	City	State	Zip Code			Trans	sactio	י חו	. Do	D775E	BE3D61	۸۲۸	065
	Washington	DC	20005			ITALIS	Sacilo	. טו ווי	. Do	DIIJE	BE3D0	ACA	301
	Purpose of Disbursement Bank Fee			001		Amoun	nt of E	ach I	Disb	urseme	ent this	Perio	d
	Candidate Name			Catego	rv/		-		_	-	0.	7.00	
				Туре			7	_		7	3	7.06	
	Office Sought: House Disbursen												
	Senate President	Primary Other (spec	General										
	State: District:	Other (spec	Siry) \										
_	Full Name (Last, First, Middle Initial)												
В.	PNC Bank					Date o	of Disb	urser	men	t			
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	Mailing Address 1400 K Street, NW					11		02	2	L	2015		
		State DC	Zip Code			Trans	sactio	n ID	: FF	003977	7B2757I	3D46	09
	Washington Purpose of Disbursement	ЪС	20005										
	Credit Card Fees			001		Amoun	nt of E	ach [Disb	urseme	ent this	Perio	d
	Candidate Name			Catego	rv/		-				4-	7.04	
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	Office Sought: House Disbursen												
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C.	PNC Bank					Date o	of Disb	urser	men	t			
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	Mailing Address 1400 K Street, NW					11	- 1	05)	ш	2015		
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	Candidate Name			001		Amoun	nt of E	ach I	Disb	urseme	ent this	Perio	d
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	Office Sought: House Disbursen	nent For:		.,,,,			,		Ť	7			
	Senate	Primary	General										
	President	Other (spec	cify) 🔻										
_	State: District:												
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\vdash	obtotat of Disbursements This Page (optional)					-		+	÷	7		=	=
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S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:	PAGE 26 OF 37
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<u>\</u>	NAME OF COMMITTEE (In Full)		. coo or any pomio			
	Securities Industry and Financial M	larkets	Association F	Political Ad	ction Comm	nittee
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Α.	Full Name (Last, First, Middle Initial)				D . (D:	
Α.	Ben Cardin for Senate, Inc.				Date of Dis	
	Mailing Address PO Box 21093				11	30 2015
						10 .00,0
		State	Zip Code		Transactio	on ID : 3E722F535BB8A0F2FC6
		MD	21228		. Transactiv	511 IB : 527221 555B55A61 21 55
	Purpose of Disbursement 2018 Primary			011	Amount of I	Each Disbursement this Period
	Candidate Name				7 tillount of 1	Eddit Blobardoment tine 1 dried
	Benjamin L. Cardin			Category/ Type		1000.00
		nent For:	2018		-	
		Primary	General			
		Other (spe	ecify) 🔻			
	State: MD District: Full Name (Last, First, Middle Initial)					
В.	Boozman for Arkansas				Date of Dis	bursement
	DOUZINATI TOT AIRAITSAS				M M /	D D / Y Y Y Y
	Mailing Address PO Box 671				11	20 2015
			Zin Codo			
		State AR	Zip Code		Transaction	on ID : 22805135
	-	AR	72757		Transaction	on ID : 22805135
	Rogers			011		on ID: 22805135 Each Disbursement this Period
	Rogers Purpose of Disbursement 2016 Primary Candidate Name			011 Category/		Each Disbursement this Period
	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman	AR	72757			
	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Disbursem	AR nent For:	72757	Category/		Each Disbursement this Period
	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate Disbursem	nent For:	72757 2016 General	Category/		Each Disbursement this Period
	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate Disbursem	AR nent For:	72757 2016 General	Category/		Each Disbursement this Period
	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate President Disbursem	nent For:	72757 2016 General	Category/		Each Disbursement this Period
c.	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate President State: AR District:	nent For:	72757 2016 General	Category/		Each Disbursement this Period 1500.00
<u> </u>	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate President State: AR District: Full Name (Last, First, Middle Initial) Brad Ashford for Congress	nent For:	72757 2016 General	Category/	Amount of I	Each Disbursement this Period 1500.00 bursement
<u> </u>	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate President State: AR District: Full Name (Last, First, Middle Initial)	nent For:	72757 2016 General	Category/	Amount of I	Each Disbursement this Period 1500.00 bursement
<u> </u>	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate President State: AR District: Full Name (Last, First, Middle Initial) Brad Ashford for Congress Mailing Address PO Box 24023	nent For:	72757 2016 General	Category/	Date of Dis	Each Disbursement this Period 1500.00 bursement 06 1500.10
С.	Rogers Purpose of Disbursement 2016 Primary Candidate Name John Nichols Boozman Office Sought: House Senate President State: AR District: Full Name (Last, First, Middle Initial) Brad Ashford for Congress Mailing Address PO Box 24023 City Omaha	nent For: Primary Other (spe	72757 2016	Category/	Date of Dis	Each Disbursement this Period 1500.00 bursement
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NAME OF COMMITTEE (In Full)				
Securities Industry and Financial M	arkets Association	Political Act	tion Committee	
	arroto / toootiation			
Full Name (Last, First, Middle Initial)			D (D) . !	
A. DelBene for Congress			Date of Disburseme	nt
Mailing Address PO Box 487			11 30	2015
Mailing Address 1 O Box 407			11 30	2010
City	state Zip Code		Transaction ID - C	0D74F04F506DF00504
2011.011	WA 98041		Transaction ID: 6	2D74F01E586DF925C4
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Candidate Name		011	Amount of Each Dis	bursement this Period
Suzan Kay DelBene		Category/ Type		1000.00
	nent For: 2016	туре		
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B. Devin Nunes Campaign Committee)		Date of Disburseme	nt
Mailing Address PO Box 6545			11 30	2015
Mailing Address PO Box 6545			11 30	2013
City	State Zip Code		Transaction ID : 0	664B13FD77DEF133D4
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Devin G. Nunes		Category/ Type		2500.00
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C. Dold for Congress			Date of Disburseme	
Mailing Address PO Box 6312			M M / D D D 12	2015
Walling Addicess FO Box 0512				2010
City	State Zip Code		Transaction ID : 2	2702030
Libertyville	IL 60048		Transaction ib . 2	21 90039
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Robert James Dold Jr.		Category/ Type		1000.00
	nent For: 2016	.,,,,,		
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	Securities Industry and Financial M	Markets Association	Political Ac	tion Committee
<u>/</u>	Full Name (Last, First, Middle Initial)		İ	
_	Donnelly for Indiana			Date of Disbursement
	Donnelly for indiana			M M / D D / Y Y Y Y
i	Mailing Address 1050 17th St NW Ste 590			11 12 2015
-	211			
	,	State Zip Code DC 20036		Transaction ID: 22798038
	Washington Purpose of Disbursement	20030		
	2018 Primary		011	Amount of Each Disbursement this Period
Ī	Candidate Name		Category/	
	Joseph Simon Donnelly Sr.		Type	1000.00
(ment For: 2018		
		Primary General		
	President State: IN District:	Other (specify) ▼		
	Full Name (Last, First, Middle Initial)			
	Fearless PAC			Date of Disbursement
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Ī	Mailing Address PO Box 37			11 13 2015
		State Zip Code		Transaction ID : 22798380
	Boulder Purpose of Disbursement	CO 80306		
	2015 Contribution		011	Amount of Each Disbursement this Period
(Candidate Name		Category/	
	Fearless PAC		Type	2000.00
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_	Gillibrand for Senate			Date of Disbursement
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Ī	Mailing Address 126 C Street NW			11 05 2015
-	2nd Floor			
		State Zip Code		Transaction ID: 22789155
	Washington Purpose of Disbursement	DC 20001		
	2018 Primary		011	Amount of Each Disbursement this Period
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	Kirsten Elizabeth Gillibrand		Type	2500.00
(Office Sought: House Disburser	ment For: 2018		
	X Senate	Primary General		
	President	Other (specify) ▼		
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_	Full Name (Last, First, Middle Initial)					
Α.	Graham for Congress				Date of Disbursement	
	Mailing Address PO Box 310				11 05 7 2015	
	City	State	Zip Code			
	Tallahassee	FL	32302		Transaction ID: 22789156	
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	Gwendolyn Graham			Type	1000.00	ш.
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В.	Heidi for Senate				Date of Disbursement	
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	Mailing Address PO Box 1577				11 05 2015	
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_	Full Name (Last, First, Middle Initial)					
Α.	Hoyer for Congress				Date of Disk	
	Mailing Address 700 13th Street NW				11 /	12 2015
	Suite 600					12 2010
	City	State Zip Coo	de		Transactio	ID . 22702027
	· · · · · · · · · · · · · · · · · · ·	DC 20005			Transactio	on ID : 22798037
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D	Full Name (Last, First, Middle Initial)				Data of Diah	
В.	Jeffries for Congress				Date of Disk	
	Mailing Address 3430 Connecticut Avenue, NW #11	704			11	20 2015
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C.	Purpose of Disbursement 2016 Primary Candidate Name Hakeem S. Jeffries Office Sought: State: NY District: 08 Full Name (Last, First, Middle Initial) Kind for Congress Committee Mailing Address 3061 Edgewater Ln City La Crosse Purpose of Disbursement 2016 Primary Candidate Name Ronald James Kind Office Sought: House Disbursement	nent For: 2016 Primary Ge Other (specify) State Zip Coo WI 54603	eneral de	Category/ Type 011 Category/	Date of Disk	bursement 20 / 2015 an ID: 22805136 Each Disbursement this Period
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NAME OF COMMITTEE (In Full) Securities Industry and Financial M							ommitte	.
Full Name (Last, First, Middle Initial)								
A. Kyrsten Sinema for Congress				Date of	Disbursem	ent		
Mailing Address PO Box 25879				11	30		2015	
•	State	Zip Code		Trans	action ID :	D9435A56	1 B <i>AA</i> 7A3	D611
Tempe Purpose of Disbursement	AZ	85285		mans	action ib .	D3433A30	1044743	D 011
2016 Primary			011	Amount	of Each D	isbursemer	nt this Pe	eriod
Candidate Name			Category/				1500.0	20
Kyrsten Sinema			Туре		-	7	1500.0	JO
Senate	nent For: Primary Other (spe	General						
Full Name (Last, First, Middle Initial)								
B. Luke Messer for Congress				Date of	Disbursem		Y	
Mailing Address PO Box 917				11	12		2015	
Shelbyville	State IN	Zip Code 46176		Trans	action ID :	22798042		
Purpose of Disbursement 2016 Primary			011	Amount	of Each D	isbursemer	nt this Pe	eriod
Candidate Name			Category/				2000	20
Allan Lucas Messer			Type		-	- 7	2000.	00
Senate X	nent For: Primary Other (spe	General						
Full Name (Last, First, Middle Initial) C. Mike Thompson for Congress					Disbursem			
Mailing Address 5429 Madison Avenue				11	05		2015	
Sacramento	State CA	Zip Code 95841		Trans	action ID :	22789154		
Purpose of Disbursement 2016 Primary			011	Amount	of Each D	isbursemer	nt this Pe	eriod
Candidate Name			Category/				1000.0	20
Michael C. Thompson			Туре			-	1000.0	JU
Senate X	nent For: Primary Other (spe	General						
							4500.0	00
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).				H	7			÷

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\setminus	NAME OF COMMITTEE (In Full)				
	Securities Industry and Financial M	Markets Association	Political Ac	tion Committee	
^	Full Name (Last, First, Middle Initial)			Detect Dishuran	
A.	Montanans for Tester			Date of Disbursement	V
	Mailing Address PO Box 1135			11 05 2015	
		State Zip Code		Transaction ID : 22789162	
	Helena Purpose of Disbursement	MT 59624		114115451161112	
	2018 General		011	Amount of Each Disbursement this F	Period
	Candidate Name		Category/	1000.	00
	Jon Tester	want Fam. 00:5	Type	1000	.50
		nent For: 2018 Primary Seneral			
	President	Other (specify)			
_	State: MT District:	• • •			
_	Full Name (Last, First, Middle Initial)				
В.	Moulton for Congress			Date of Disbursement	V
	Mailing Address PO Box 2013			11 20 2015	Υ
	Salem	State Zip Code MA 01970		Transaction ID : 22805140	
	Purpose of Disbursement 2016 Primary		011	Amount of Each Disbursement this F	Period
	Candidate Name		Category/	4000	00
	Seth W. Moulton		Туре	1000	.00
	Senate	nent For: 2016 Primary General Other (specify)			
_	Full Name (Last, First, Middle Initial)				
C.	Moulton for Congress			Date of Disbursement	
	Mailing Address PO Box 2013			11 30 2015	Y
	,	State Zip Code MA 01970		Transaction ID: 4DBFDF02C8999/	A7F4A5
	Purpose of Disbursement	0.0.0			
	2016 Primary		011	Amount of Each Disbursement this F	Period
	Candidate Name Seth W. Moulton		Category/	1000.	.00
		ment For: 2016	Type		
	Senate President	Primary General Other (specify) ▼			
	State: MA District: 06				
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	Detailed Summary Page	e27	28a 28b 28c 29 30b
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NAME OF COMMITTEE (In Full)			
Securities Industry and Fina	ncial Markets Associatio	n Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Nita Lowey for Congress			M M / D D / Y Y Y Y
Mailing Address PO Box 271			11 30 2015
City	State Zip Code		Transaction ID : F161400D92FF15B36DD
White Plains	NY 10605		Transaction 15 : 1 1014005021 1 1050055
Purpose of Disbursement 2016 Primary		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Nita M. Lowey		Type	1000.00
Office Sought: House Senate President	Disbursement For: 2016 Primary General Other (specify) ▼	I	
State: NY District: 17			
Full Name (Last, First, Middle Initial)			Data of Dishamanant
B. People for Patty Murray			Date of Disbursement
Mailing Address PO Box 3662			11 05 2015
City Seattle	State Zip Code WA 98124		Transaction ID: 22789159
		011	
Seattle Purpose of Disbursement			Amount of Each Disbursement this Period
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray	WA 98124	011 Category/ Type	
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray		Category/ Type	Amount of Each Disbursement this Period
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President	WA 98124 Disbursement For: 2016 Primary General	Category/ Type	Amount of Each Disbursement this Period
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District:	WA 98124 Disbursement For: 2016 Primary General	Category/ Type	Amount of Each Disbursement this Period
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial)	WA 98124 Disbursement For: 2016 Primary General	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City	WA 98124 Disbursement For: 2016 Primary General Other (specify) ▼ State Zip Code	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City Seattle	WA 98124 Disbursement For: 2016 Primary General Other (specify) ▼	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City	WA 98124 Disbursement For: 2016 Primary General Other (specify) ▼ State Zip Code	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City Seattle Purpose of Disbursement 2016 General Candidate Name	WA 98124 Disbursement For: 2016 Primary General Other (specify) ▼ State Zip Code	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement 11 05 7 2015 Transaction ID: 22789160 Amount of Each Disbursement this Period
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City Seattle Purpose of Disbursement 2016 General Candidate Name Patricia Lynn Murray	Disbursement For: 2016 Primary General Other (specify) ▼ State Zip Code WA 98124	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement 11 05 7 2015 Transaction ID: 22789160
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City Seattle Purpose of Disbursement 2016 General Candidate Name	WA 98124 Disbursement For: 2016 Primary General Other (specify) ▼ State Zip Code	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement 11 05 2015 Transaction ID: 22789160 Amount of Each Disbursement this Period
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City Seattle Purpose of Disbursement 2016 General Candidate Name Patricia Lynn Murray Office Sought: House Senate	Disbursement For: 2016 ☐ Primary ☐ General Other (specify) ▼ State Zip Code WA 98124 Disbursement For: 2016 ☐ Primary ☐ General	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement 11 05 7 2015 Transaction ID: 22789160 Amount of Each Disbursement this Period
Seattle Purpose of Disbursement 2016 Primary Candidate Name Patricia Lynn Murray Office Sought: House Senate President State: WA District: Full Name (Last, First, Middle Initial) C. People for Patty Murray Mailing Address PO Box 3662 City Seattle Purpose of Disbursement 2016 General Candidate Name Patricia Lynn Murray Office Sought: House Senate President	Disbursement For: 2016 Primary General Other (specify) ▼ State Zip Code WA 98124 Disbursement For: 2016 Primary General Other (specify) ▼	Category/ Type	Amount of Each Disbursement this Period 500.00 Date of Disbursement 11 05 2015 Transaction ID: 22789160 Amount of Each Disbursement this Period

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NAME OF COMMITTEE (In Full)				
Securities Industry and Financ	ial Markets Associatior	n Political Ac	tion Committee	
/				
Full Name (Last, First, Middle Initial)			Date of Disbursement	
A. Richmond for Congress			Man / Dad / Yayaya	V
Mailing Address 1631 Elysian Fields			11 30 2015	'
Suite 150				
City	State Zip Code LA 70126		Transaction ID: 8BEFE887FC8ACE	312CC
New Orleans Purpose of Disbursement	LA 70126			
2016 Primary		011	Amount of Each Disbursement this Po	eriod
Candidate Name		Category/		00
Cedric Levon Richmond		Type	1000.0	UU
	bursement For: 2016			
Senate President	Primary General Other (specify) ▼			
State: LA District: 02	Other (specify)			
Full Name (Last, First, Middle Initial)				
B. Robert Hurt for Congress			Date of Disbursement	
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Mailing Address PO Box 8			11 30 2015	
City	State Zip Code		Transaction ID: 53E7CB6BF90E52	2DBDF
Chatham Purpose of Disbursement	VA 24531-0008			
2016 Primary		011	Amount of Each Disbursement this Po	eriod
Candidate Name		Category/		
Robert Hurt		Type	1000.	00
	oursement For: 2016			
Senate President	Primary General Other (specify) ▼			
State: VA District: 05	Other (specify)			
Full Name (Last, First, Middle Initial)				
C. Ryan for Congress, Inc.			Date of Disbursement	
			M M / D D / Y Y Y Y	Υ
Mailing Address PO Box 1488			11 30 2015	
City	State Zip Code			
Janesville	WI 53547-1488		Transaction ID: 50730C997E91DC	5837B
Purpose of Disbursement 2016 Primary				
•		011	Amount of Each Disbursement this Pe	eriod
Candidate Name Paul Davis Ryan Jr.		Category/	1000.0	00
	bursement For: 2016	Туре		
Senate	Primary General			
President	Other (specify)			
State: WI District: 01	<u> </u>			
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SUBTOTAL of Disbursements This Page (option	onal)	······································	3000.0	JU
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NAME OF COMMITTEE (In Full)				_
Securities Industry and Financial M	larkets Association F	Political Act	tion Committee	
Full Name (Last, First, Middle Initial)				
A. Sanford Bishop for Congress			Date of Disbursement	
Mailing Address PO Box 909			11 20 2015	
City	State Zip Code		Transaction ID - 22005427	_
Columbus	GA 31902		Transaction ID: 22805137	
Purpose of Disbursement 2016 Primary		011	Amount of Each Disbursement this Period	
Candidate Name		Category/		
Sanford D. Bishop Jr.		Type	1000.00	
Senate	nent For: 2016 Primary General Other (specify)			
State: GA District: 02				
Full Name (Last, First, Middle Initial)				
B. Scott Peters for Congress			Date of Disbursement	
Mailing Address PO Box 75357			11 30 7 2015	
,	State Zip Code DC 20013		Transaction ID: 9A23BD3E351BAC295B2	2
Purpose of Disbursement 2016 Primary				
Candidate Name		011	Amount of Each Disbursement this Period	
Scott H. Peters		Category/	1000.00	
	nent For: 2016	Туре		l
Senate	Primary General Other (specify) ▼			
Full Name (Last, First, Middle Initial)				_
C. Stabenow for US Senate			Date of Disbursement	
Mailing Address PO Box 4945			11 20 / 2015	
City	State Zip Code			_
East Lansing	MI 48826		Transaction ID: 22805139	
Purpose of Disbursement 2018 Primary		011		
Candidate Name		011	Amount of Each Disbursement this Period	
Deborah Stabenow		Category/ Type	1000.00	
	nent For: 2018	.,,,,,		
	Primary General Other (specify) ▼			
State: MI District:				
SUBTOTAL of Disbursements This Page (optional)			3000.00	

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l \	ME OF COMMITTEE (In Full) ecurities Industry and Financial M	larkets Associati	ion P	olitical Ac	tion Co	mmitte	е		
Ful	l Name (Last, First, Middle Initial)								
	exans for Senator John Cornyn In	IC .			Date of	Disburser	D / Y	y 1 y 1 2015	Υ
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	stin	State Zip Code TX 78711			Trans	action ID :	: 22789242		
20	rpose of Disbursement 020 Primary			011	Amount	of Each [Disburseme	nt this I	Period
Jo	ndidate Name ohn Cornyn III			Category/ Type				5000	.00
	Senate President	nent For: 2020 Primary Gene Other (specify) ▼	eral						
	tte: TX District:								
_	im Walz for US Congress					Disburser		V - V -	V
Ma	iling Address PO Box 938				11	30		2015	Y
	ankato	State Zip Code MN 56002			Trans	action ID	: F52D45C	49BA00	1E745A
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	ndidate Name mothy J. Walz			Category/ Type		.,		1000	0.00
Off Sta	Senate President	nent For: 2016 Primary Gene Other (specify) ▼	eral						
	Name (Last, First, Middle Initial) argas for Congress					Disburser			
Ma	illing Address 330 Encinitas Blvd				11	12		2015	Y
Cit En		State Zip Code CA 92024-870	05		Trans	action ID	: 22798040		
Pu	rpose of Disbursement 016 Primary			011	Amount	of Each I	Disburseme	nt this l	Period
	ndidate Name uan Carlos Vargas		7	Category/ Type	, and an	or Edoir I	3 ios di ocinio	1500	-
Off	ice Sought: House Disbursen	nent For: 2016 Primary ☐ Gene Other (specify) ▼	eral	75-		,			
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NAME OF COMMITTEE (In Full)			
$\Big angle$ Securities Industry and Financial N	Markets Association	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Zeldin for Congress			M M / D D / Y Y Y Y
Mailing Address 47 Flintlock Drive			11 30 2015
City Shirley	State Zip Code NY 11967		Transaction ID : 6030E689B2E1C059645
Purpose of Disbursement	11967		
2016 Primary		011	Amount of Each Disbursement this Period
Candidate Name Lee M. Zeldin		Category/ Type	1000.00
Office Sought: House Senate President Disburse	ment For: 2016 Primary General Other (specify)	.,,,,	
State: NY District: 01 Full Name (Last, First, Middle Initial)			
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City	State Zip Code		
Purpose of Disbursement			Amount of Each Disbursement this Period
Candidate Name		Category/ Type	
Office Sought: House Senate President State: District:	ment For: Primary General Other (specify) ▼		
Full Name (Last, First, Middle Initial)			
> .			Date of Disbursement
Mailing Address			M M / D D / Y Y Y Y
City	State Zip Code		
Purpose of Disbursement			
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Senate President State: District:	ment For: Primary General Other (specify)	,,	
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